



NAIPUNNYA
SCHOOL OF MANAGEMENT

A Project of the Archdiocese of Ernakulam - Angamaly
Affiliated to the University of Kerala
Accredited by NAAC with A Grade
An ISO Certified Institute

ADD ON COURSE 2022-23

(CAMA-301)

**CERTIFICATE IN
INDIAN CAPITAL
MARKET**

PG DEPARTMENT OF COMMERCE AND MANAGEMENT

CERTIFICATE IN INDIAN CAPITAL MARKET (CAMA-301)

COURSE OBJECTIVES:

On successful completion of the examination, the candidate should:

- Know the basics of the Indian Securities Markets.
- Know the various processes involved in Primary and Secondary Markets
- Know the steps in financial planning process.

ASSESSMENT FEATURES

The examination consists of 80 Marks objective type questions, and the time of examination will be 1 hour. The passing score for the examination is 40%. There shall be no negative marking. There will be an assignment consisting of 20 Marks and need 40% marks for passing the same.

Unit – I : Understanding Securities Market

Securities Markets – Securities - Securities Markets: Structure and Participants - Role of Securities Markets - Technological advancements in the securities market (2 Hours)

Unit – II : Securities : Types, Features, and concept of asset allocation and investing.

Securities available in the securities market - Choice between Equity and Debt Financing for Issuers - Characteristics and role of equity capital - Characteristics and role of Debt securities - Choosing between Debt and Equity Investment Avenues - Hybrid Instruments - Characteristics and role of Commodities as an asset class - Derivatives as investments - Asset Allocation and Diversification - Understanding the factors underlying the investment process - Various aspects of investing in Equity - Equity Investing Process - Various aspects of investing in Debt securities - Debt Investing Process (10 Hours)

Unit – III : Primary Market

Primary Market: Definition and Functions - Primary Vs Secondary Markets - Intermediaries in Primary Market - Types of Issues - Types of Issuers - Types of Investors - Regulatory Framework for Primary Markets - Types of Public Issue of Equity Shares - Pricing a Public Issue of Shares - Public Issue Process of equities – Prospectus - Applying to a Public Issue - Listing of Shares - Rights Issue of Shares - Regulatory requirements for a Public Issue of

Debt Securities - Public issue process for debt securities - Private Placements in Equity and Debt
(9 Hours)

Unit – IV : Secondary Market

Role and Function of the Secondary Market - Types of secondary markets for different securities - Market Structure and Participants - Brokers and Client Acquisition - Trade Execution - Clearing and Settlement of Trades - Trading and settlement process from investor's point of view - Market Information and Regulation - Risk Management Systems - Rights, Obligations and Grievance Redressal - Secondary market trading and reporting for debt securities
(9 ours)